

Prof. B. Venkatram Reddy  
Registrar



KAKATIYA UNIVERSITY  
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No. 44 /B3/KU/2022

Date: 18-01-2022

To

1. All the Principals of  
Affiliated Government Degree & PG Colleges, KU
2. All the eligible teachers of University/Constituent/PG Colleges, KU

Sub: Ph. D. PROGRAMS 2021-2022 – Applications calling for recognition of eligible aspirant teachers as Research Supervisors and Govt. Degree & PG Colleges as Research Centres under the jurisdiction of Kakatiya University for making admission for 2021-2022 – Regarding.

Ref: KU Orders No.403/A2/KU/2021/COMT-380, DATED 08/04/2021

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Sir/Madam,

I am pleased to inform you that in pursuance of the provisions and on the recommendation of the Committee constituted vide orders under reference cited, the Vice-chancellor has accorded approval to invite the applications from the affiliated eligible Government Degree & PG Colleges where research facilities are available under the jurisdiction of Kakatiya University for recognizing as Research Centres and eligible aspirant teachers of the College as Research Supervisors to carry out research programmes leading to award of Ph. D. Degrees in various faculties by the University. Further, the University is going to issue notification for Ph.D. Admissions under category II through KU Eligibility Entrance Test 2022 shortly.

Hence, all the Principals of the eligible Colleges and teachers are hereby informed to apply for recognition of their college as Research Centre and teachers as Research Supervisors as per the eligibility criteria prescribed in the norms effective from 2017-2018 onwards. Your application for Research Centre/Research Supervisor should reach the under signed at the academic section with all the required enclosures and payment of application fee on or before 28/01/2022. Any application received after the due date will not be entertained.

Yours faithfully,

  
REGISTRAR

Encl: Approved norms & Prescribed application form

Copy to:-

1. All the Heads of the Departments, KU with a request to forward the eligible regular teachers if any apply for reorganization as Research Supervisor.
2. The Dean, College Development Council/Academic Audit, KU
3. The Incharge, Directorate of Admissions, KU
4. The Controller of Examinations, KU
5. The Secretary to Vice-Chancellor, KU
6. The PA to Registrar, KU
7. The SF

## 19 NORMS FOR RECOGNITION OF AFFILIATED COLLEGES (AC) AS RESEARCH CENTRES

- 19.1 Post-Graduate Colleges having 5 years of existence within the territorial jurisdiction of the Kakatiya University, (as per the UGC-Regulations-2016 and Ph.D. Guidelines from time to time) are recognized as research centers for providing facilities in Ph.D. research, when they have eligible Research Supervisors, required infrastructure and supporting administrative and research promotion facilities.
- 19.2 Post-graduate Departments of Colleges, Research laboratories of Government of India/State Government with at least two Ph.D. qualified teachers/scientists/other academic staff in the Department concerned along with required infrastructure, supporting administrative and research promotion facilities as per these Regulations, stipulated under sub-clause 10.3 (see below 19.3), shall be considered eligible to provide facilities Ph.D. research. Colleges should additionally have the necessary recognition by the Institution under which they operate to offer Ph.D. research.
- 19.3 Colleges with adequate facilities for research as mentioned below are recognised as research centers to provide facilities for Ph. D. research:
- 19.3.1 In case of science and technology disciplines, exclusive research laboratories with sophisticated state of the art equipment, testbeds as specified by the Institution concerned as demanded by the respective research areas and with provision for adequate space per research scholar along with computing facilities with dedicated Internet Bandwidth of 100 Mbps (yearly subscribed) should be available and licensed software, and uninterrupted power and water supply. The approved laboratories must be updated with latest technology and adequate budget must be provided.
- 19.3.2 Earmarked digital library resources including latest books, Indian and International journals, e-journals, extended working hours for all disciplines, adequate space for research scholars in the Department / library for reading, writing and storing study and research materials.
- 19.3.3 Colleges may also access the required facilities of the neighboring Institutions/Colleges, or of those Institutions/Colleges/R&D laboratories/Organizations which have the required facilities.
- 19.3.4 The institution seeking recognition as a Research Centre of the Kakatiya University shall apply in the prescribed Application Form by paying required fee of Rs.1,00,000/-for Private unaided and Rs.50,000/- for Government and Government Aided Colleges (Non-refundable) to the Registrar, KU (or) Director, Research and Development.
- 19.3.5 The prescribed Annual Recognition fee Rs.50,000/- for Unaided Private Colleges and Rs. 25,000/- for Government and Government aided Colleges.
- 19.3.6 The Unaided Private institutions must deposit an amount of Rs.10,00,000/- (Ten lakhs) as Carpus fund on the joint account of Director, Research and Development and the Principal/Correspondent of the concerned research Centre.
- 19.4 The College must have been functioning on regular basis with a clean record of academic discipline and environment offering the P.G. Course in the subject / discipline / department in which it is seeking recognition for a minimum period of five years.

- 19.5 In the case of Affiliated Colleges of Kakatiya University, the College must have permanent/continuous affiliation.
- 19.6 The Affiliated College (AC) should have *at least two* faculty members eligible for recognition as Research Supervisors as per the University Norms in each of the subject in which the recognition is sought.
- 19.7 The AC shall have laboratory space, Research equipment, Library, Computational and other facilities, annual research grant allocation and utilization (as applicable to the subject concerned) for conducting the research as prescribed by the Inspection Committee of the University which will inspect the Affiliated College after receiving the application form along with required fee.
- 19.8 The Affiliated Colleges should submit the reprints of, at least, six Research Publications in the concerned subjects concerned emanating from Research activity carried out in the College by its faculty in the last five years in reputed journals as a proof of commitment of the College for research. The Research Publications so submitted shall be evaluated by a Committee consisting of the Dean, Head and Chairman, Board of Studies of the subject.
- 19.9 The committee constituted by the University with following Members will visit the College and submit the report to the University for the approval of the Standing Committee of Academic Senate and Executive Committee of the Kakatiya University.
1. Dean, Faculty of Concerned Subject
  2. Head, Department of the Concerned Subject
  3. Chairperson, BOS of the Concerned Subject
  4. A Senior Faculty member of the Concerned Subject.
  5. External Member / Subject Expert outside of the University

The Director, Research & Development shall coordinate the above committee.

- 19.10 When the college is applying for more than one research areas, the Committee consisting of five members as mentioned in 19.6 of the respective faculties will visit the college for evaluating the feasibility of research facilities at research center.
- 19.11 At the time of application, the Affiliated Colleges should provide the proof of its commitment for research activity by way of organizing at least two national conferences /seminars/workshop (of not less than 2 days) etc., in the last five years or its support and active participation in such programmes. Once the Recognition is accorded by the University, the institution should continue to participate regularly in such activities.
- 19.12 At the time of application, the AC should submit a written commitment duly signed by the Secretary/Correspondent to continue all the facilities for the research originally indicated. Any breach in this aspect will be evaluated by an Inspection Committee and the University reserves the right to revoke the recognition.
- 19.13 In case the AC fulfills the above conditions, the University may accord recognition to it as Research Centre of Kakatiya University for a period of **Five** years. However, it is mandatory that the AC
- (i) Submits the required information in the prescribed proforma to be obtained from the Office of the Dean, Academic Audit on or before the stipulated date annually.

In case the AC does not fulfill any of these conditions, the University reserves the right to cancel the recognition granted, after serving a notice. After a period of Five (5) years, the University shall review the functioning by appointing an Inspection Committee and if found satisfactory, extend the recognition for a period of another three years on receiving the required fee and fulfilling the conditions laid down by the University.

- 19.14 Recognition as Research Centre shall be accorded subject wise, based on all relevant facilities for Research, recognized Supervisors or qualified staff eligible for recognition as Research Supervisors in the subjects in which the recognition is sought.

*[Handwritten signatures and marks]*

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19.15 As and when a AC or EI ceases to have two researchers who are either recognized Supervisors or eligible for recognition as Research Supervisor in the concerned subject, no candidate shall be permitted to register in the subject for Ph.D. by the University.

19.16 The recognition as supervisor shall be after two years from the date of award of the Ph.D. degree and has two years of regular service at the Post-Graduate (P.G.) University Department (s) / P.G. Constituent College/ P.G. Government and P.G. Govt. Aided Colleges.

## 20 RULES FOR RECOGNITION OF SUPERVISORS FROM AFFILIATED COLLEGES RESEARCH CENTRES

20.1 All the rules and regulations are applicable as explained in the Clause-17.

20.2 Teacher/Scientist in the recognized Research Centre of Kakatiya University, who is appointed as a regular (permanent) teacher on full-time basis within the territorial jurisdiction.

20.3 Number of research scholars to each supervisor will be allotted subject to availability of the research facilities.

20.4 A full-time regular Professor / Associate Professor / Asst. Professor working in Affiliated Colleges (Government / Aided / Unaided Private) shall be considered for extending recognition as a research supervisor only when:

- a. Working in a Post-Graduation college with existence of five years on regular mode
- b. Two years of regular service of the teacher and after two years from the date of award of his/ her Ph.D. degree.
- c. At least 5 papers published after obtaining the Ph.D. degree in the refereed Journals enlisted in the UGC CARE List / Scopus / SCIE / SCI Indexed journals and approved by the Board of Studies of the Department.

20.5 A research Supervisor working in Affiliated Colleges shall not be permitted to get guide-ship from other University (i.e. dual guide-ship). However, Co-Supervisor can be allowed in inter-disciplinary areas from other departments of the same institute or from other related institutions with the approval of the DRC.

*Note: When the supervisor leave the current job permanently, the Dean of the faculty with the concurrence of the Vice-Chancellor may transfer the scholars to the available supervisors in the respective departments in the university irrespective of maximum number of scholars.*

## 21 AWARD OF M.Phil./ Ph.D. DEGREES PRIOR TO NOTIFICATION OF THESE REGULATIONS OR DEGREES AWARDED BY FOREIGN UNIVERSITIES:

21.1 Award of degrees to candidates registered for the M.Phil. / Ph.D. programme on or after July 11, 2009 till the date of Notification of these Regulations shall be governed by the provisions of the UGC (Minimum Standards and procedure for Awards of M.Phil. / Ph.D. Degree) Regulation, 2009.

21.2 If the M.Phil./Ph.D. degree is awarded by a Foreign University, the Indian Institution considering such a degree shall refer the issue to a Standing Committee constituted by the concerned institution for the purpose of determining the equivalence of the degree awarded by the foreign University.

## 22 DEPOSITORY WITH INFLIBNET:

22.1 Following the successful completion of the evaluation process and before the announcement of the award of the Ph.D. degree, the Institution concerned shall submit an electronic copy of the Ph.D. thesis to the INFLIBNET, for hosting the same so as to make it accessible to all Institutions/Colleges.

*[Handwritten signatures and initials]*

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22.2 Prior to the actual award of the degree, the degree-awarding Institution shall issue a provisional Certificate to the effect that the Degree has been awarded in accordance with the provisions of these UGC Regulations, 2016.

**23 UNIVERSITY WEBSITE:**

23.1 The University shall maintain the list of all the Ph.D. registered students on its website on year-wise basis. The list shall include the name of the registered candidate, topic of his/her research, name of his/her supervisor/co-supervisor, date of enrolment/registration.

**24 Ph.D. FOR PROFESSIONAL AND FOREIGN SCHOLARS:**

a. Corporate / Industry Professional etc. to forge collaboration between the Government / Corporate Industries and the Universities. One eligible candidate among the following category shall be allotted to each research supervisor as supernumerary number as under:

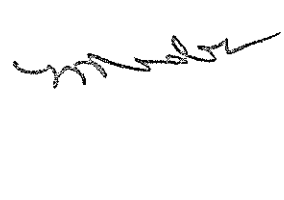
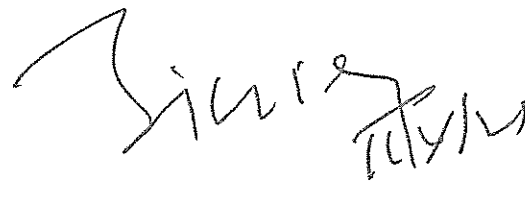
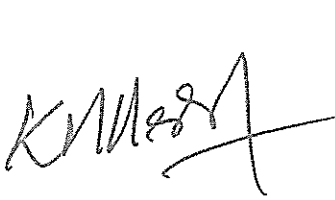
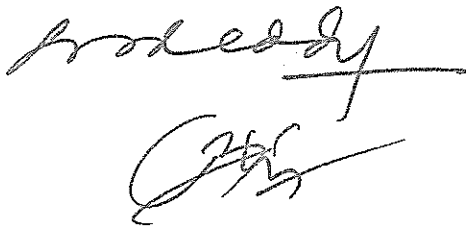
- i. Civil Servants working in Government not below the rank of joint secretary.
- ii. Scientist / Researchers working in national level organization not below the rank of Scientist-G.
- iii. National / State level top public sector organizations – working in the rank not less than the General Manager.
- iv. Defence Personnel in service with not below the rank of Wing Commander / Capital (Navy)/ Colonel.
- v. Working personnel in private organizations with annual turnover not less than Rs. 100 crores and with the position not less than Vice-President.
- vi. Judges in service with not less than the rank of Judges of High Court of the State.

b. **Foreign Scholars:** Two eligible foreign scholars shall be allotted to each supervisor on supernumerary basis on receipt of a requisition / application received from the Center for Foreign Research and Consultancy (CFRAC) of the University; and on the recommendation of the Departmental Research Committee concerned.

The fee payable by these categories of the candidates shall be as per the University orders, those will be issued from time to time.

*All other matters that have not been covered by the rules mentioned above the Dean of the Faculty concerned may refer the matter to the Standing Committee of the Academic Senate whose decision shall be final.*

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## Appendices

Appendix-1-A: University Grants Commission (Minimum Standards and Procedure for Award of M.Phil./Ph.D. Degrees) Regulations, 2016

Appendix-1-B: University Grants Commission (Minimum Standards and Procedure for Award of M.Phil./Ph.D. Degrees) Regulations, 2016 - Amended

Appendix-1-C: Kakatiya University Existing Rules and Regulations - 2015-2016 and 2016-2017.

1. \_\_\_\_\_ 2. \_\_\_\_\_ 3. \_\_\_\_\_  
11/10/21 11/10/21

4. \_\_\_\_\_ 5. \_\_\_\_\_ 6. \_\_\_\_\_

7. \_\_\_\_\_ 8. \_\_\_\_\_

# KAKATIYA UNIVERSITY :: WARANGAL

## APPLICATION FOR RECOGNITION AS RESEARCH CENTRE

Details of the prescribed fee paid: Challan/Cheque/DD \_\_\_\_\_ for Rs. \_\_\_\_\_, date \_\_\_\_\_

Amount drawn in favour of \_\_\_\_\_

1. Name of the College with University Code :
2. Year of Establishment :
3. Postal Address :
4. Telephone No. with STD Code :
5. E-mail :
6. Website :
7. Location :
8. Name of the sponsoring society :
9. Name of the Secretary-cum-Correspondent :
10. Postal Address of the Society :
11. Contact No. of the Secretary  
Phone :  
Mobile :
12. Name of the Principal and Qualification :  
(please enclose bio-data and Photostat copies of Certificates)
13. Details of Corpus Fund :  
(enclose copies of the FDRs/BGs)

Sl.No.	Amount	Period		FDR/BG No.	Date	Name of the issuing Bank	Date of Maturity	Date of Renewal
		From	To					
1.								
2.								
3.								
4.								

14. Information regarding the courses sanctioned & withdrawn (enclose copies of the sanction/withdrawal orders). In case the space is not sufficient, use a separate sheet:

S.No.	Course/Combination/Group	Med.	Intake	Year of Sanction	Year of Withdrawal Reasons for Withdrawal
1.	Post-Graduate Courses/Branches				
	a)				
	b)				
	c)				
	d)				
	e)				
	f)				
	g)				

15. Enrolment of students in the existing courses during the academic year:

(In case the space is not sufficient, use a separate sheet giving full details)

Existing courses with combinations	Med.	I Year		II Year		III Year/if applicable	
		Sanctioned Intake	No. of students on rolls	Sanctioned Intake	No. of students on rolls	Sanctioned Intake	No. of students on rolls
P.G:							
a)							
b)							
c)							
d)							
e)							

16. Details of Faculty members for PG subjects: (please use a separate sheet)

Sl No.	Name	Qualifications with subject	Class obtained with % of marks	Permanent/ Temporary/ Part-time	Total Years of Service	Service at the present college	Whether appointed through Selection Committee* Constituted by the University (Yes/No)

(Please enclose bio-data and Photostat copies of the certificates of each faculty member)

\*Approval orders of the University are to be enclosed



17. Number of Teachers available as eligible Research Supervisors (as per the University Guidelines)

Sl No.	Name of the Course	Total Number of Teaching Staff	Number of Teachers appointed through duly constituted Selection Committee, as per the University norms

Use separate sheet per faculty

(If any student is registered with a recognized Supervisor, an undertaking should be exercised by both the teacher and management that he/she continues/will be continued till the Ph.D. work of the registered candidate is completed)

18. Extent of the land provided to the college by the society:

Area:

Place:

Sy. No.

19. Whether the college building(s) is /are owned by the society: Yes/No

20. If the College buildings are taken on lease, enclose the registered lease deed executed for a period of Ten years in the name of the society/college:

Yes/No

(If the building/buildings are leased, submit a no objection certificate from the owner for using the accommodation for research activities)

21. Please specify whether the UG & PG courses are accommodated in the same building or in a different buildings:

Yes/No

22. Details of Accommodation (enclose the building plan duly indicating the details of purpose for which the rooms are used): Use separate sheet

Sl No.	Building No.	Room No.	Dimensions in feet and Carpet area in Sq.Ft.	Purpose for which it is used

23. Compliance on the last five years affiliation orders (please enclose the previous affiliation orders compliance report on the conditions stipulated therein):

24. Availability of infrastructure facilities for P.G. Courses (please furnish the details separately for each specialization/branch)

A. Equipment

Item	Prescribed number	Availability	Remarks

B. Laboratory

Details with fittings	Prescribed number & size	Availability	Remarks

Whether fire safety certificate is obtained or/not? Submit a copy of the same along with the application.

C. Central Facility (Availability of specified equipment /instruments)

Details	No. of labs	% Use	Remarks

D. Library including E-Library facilities

No. of Journals		No. of Periodicals	Books	
National	International		No. of titles	No. of volumes

25. Availability of infrastructure/facilities for Research:

A. Laboratory Space :

No. of Labs :

No. of rooms with central facilities/instruments.

No. of rooms for Staff/Research Scholars.

B. Books/Journals :

C. Man power provided in addition to faculty:

5. Research Activities of the Institution in the last five (5) years:

A. Seminars/Workshops conducted

No.	Details	Funding source	Report

B. Deputation of staff for higher learning/research

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C. Travel grants/funding from the institution/society for participation in National & International Conferences

i) National

--

ii) International

--

D. Sponsored projects undertaken by the Institution

(i) Sponsored by the Institution

--

(ii) Sponsored by funding Agencies:

a) National

--

b) International

--

c) Charitable trusts/Agencies/Industries

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27. Proof of the Institution/Society engagement in the research/extension activities (during the last five years)

1. Seminars organized/hosted:

2. Sponsorship of events:

3. Extension lectures:

Names of Speakers:

, Date

4. Visits of the staff/students for Specialized facilities/Industries/Research Organizations

5. Any other

28. Budget allocation of the Institution/Society to Research activities (last five years)

Year	Allocation	Used/Un used	Description of activities

29. Publication Record (last five years) from the Institution:

A)

1. National

No.	Departments	Journal	Impact Factor	Remarks

2. Regional

No.	Departments	Journal	Impact Factor	Remarks

3. International

No.	Departments	Journal	Impact Factor	Remarks

B) Cumulative Impact Factor:

C) The significance of the publication in the research:

Quotient

factor/citations

30. Details of the sponsored projects applied for in the last five (5) years:

1. National

Agency	Approved/Not approved	Amount	Status

2. International

Agency	Approved/Not approved	Amount	Status

31. Faculty research record.

The details of the Institution faculty (each) in the last five years in research highlighting the work carried out for Ph.D./Post-Doctoral and Independent research with evidence in the form of publications. (Provide separate papers for each faculty with relevant enclosure)

32. Declaration:

I, \_\_\_\_\_  
Secretary/Correspondent/Chairman of \_\_\_\_\_  
College do hereby declare that the particulars furnished above are true and correct to the best of my knowledge and belief.

Date:

SECRETARY/CORRESPONDENT/CHAIRMAN

33. Certification by the University nominee on the Governing Body:

I hereby forward the application of the college with certification that I have visited the college and the information furnished above is true and correct to the best of my knowledge and belief.

Date:

SIGNATURE OF THE UNIVERSITY  
NOMINEE ON THE GOVERNING  
BODY OF THE COLLEGE